



BOX MISSING PARTS
PATENT
3286-0154P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Ulrich BAUMGAERTL et al.

Appl. No.: 09/787,778 Group: UNASSIGNED

Filed: March 22, 2001 Examiner: UNASSIGNED

For: METHOD FOR REPRODUCING DIRECT CURRENTS, AND A
DIRECT CURRENT TRANSFORMER FOR CARRYING OUT THE
METHOD

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

June 18, 2001

Sir:

The application papers for the above-identified application were originally filed on March 22, 2000 and the application was assigned Application No. 09/787,778.

☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☒ original ☐ photocopy), necessary for completing the filing requirements in connection with the above-identified application.

☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on _____, including any amendments thereto (if applicable) filed on even date therewith.

Application No. 09/787,778
Docket No.: 3286-0154P

☐ Applicant hereby respectfully petitions for a () month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

☒ A check in the amount of \$130.00 to cover the basic filing fee, surcharge fee, and any extension of time fees (if applicable) is enclosed.

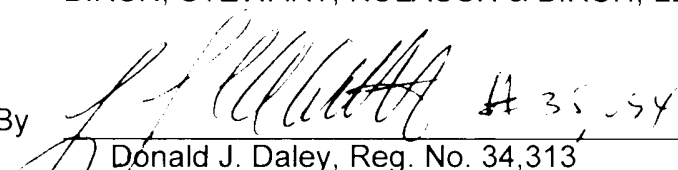
☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By


Donald J. Daley, Reg. No. 34,313

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DJD:kna

Attachments

☒ The undersigned hereby declares that "Attorney Docket No. 3286-0154P on page 1 of the inventors' Declaration, filed on June 18, 2001, corresponds to Appl. No. 09/787,778, filed March 22, 2001, entitled "METHOD FOR REPRODUCING DIRECT CURRENTS, AND A DIRECT CURRENT TRANSFORMER FOR CARRYING OUT THE METHOD."

☒ Attached is a copy of the Notice to File Missing Parts of Nonprovisional Application.

The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto and calculated as follows:

☐ Filing Fee \$0.00

☒ Surcharge (☒ Large Entity - \$130.00;
☐ Small Entity - \$ 65.00)

☐ Attached hereto is a Statement Claiming Small Entity Status
(☐ original ☐ photocopy).

☒ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

☐ No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Nonprovisional Application. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.



UNITED STATES PATENT AND TRADEMARK OFFICE

This document contains information that may be exempt from public release under the Freedom of Information Act, 5 U.S.C. 552.

U.S. APPLICATION NO.

09 797779

FIRST NAMED APPLICANT

BAUMGAERTEL

ATTY. REFERENCE NO.

3296-0154P

INTERNATIONAL APPLICATION NO.

PCT/DE99/03088

BIRCH STEWART KOLASCH & BIRCH
PO BOX 747
FALLS CHURCH, VA 22040 0747

FILING DATE

22 SEP 99

PRIORITY DATE

22 SEP 98

DATE MAILED

18 APR 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as: ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495)

- | | |
|---|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventor(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input type="checkbox"/> Other |
| <input type="checkbox"/> Priority Document. | |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

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2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- | | |
|--|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input checked="" type="checkbox"/> Copy of the international application. |
|--|--|

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136a.

- If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
- The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)